



PATENT & TRADEMARK OFFICE
David R. Preston, Ph.D., J.D.

preston@drpna.com

1632
Receipt
#44108

David R. Preston & Associates
12625 High Bluff Drive
Suite 205
San Diego, California 92130

Thomas D. Foster, J.D.[†]
Of Counsel

foster@drpna.com

September 7, 2001

Commissioner for Patents
United States Patent and Trademark Office
Washington, DC 20231

RE: Utility Patent Application
U.S. Serial Number: 09/884,579
Filed: June 1, 2001
Entitled: "Transgenic Avian Species for Making Human and Chimeric Antibodies"
Inventors: Singh and Dias
Our Reference: IMG-00112.P.1-US

Dear Sir:

I am writing to request that my contact address for the referenced Utility Patent Application be changed as presented below. For your convenience, attached is a copy of recent correspondence between the USPTO and our office for the referenced application.

FROM

David R. Preston & Associates
11404 Sorrento Valley Road
Suite 104
San Diego, California 92121

TO

David R. Preston & Associates
12625 High Bluff Drive
Suite 205
San Diego, California 92130

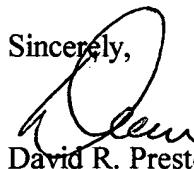
Customer Number: 24232

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OCT 26 2001
TECH CENTER 1600/2900

David R. Preston & Associates
DRPNA
www.q-creative.com/drpreston
phone: 858.724.0375
facsimile: 858.724.0384

I am an attorney of record for this application, registered to practice before the U.S.P.T.O., with Registration Number 38,710. Please contact me should you have any questions or require further information or materials.

Sincerely,



David R. Preston

DRP/scc
enclosure

David R. Preston & Associates

DRPNA

www.q-creative.com/drpreston

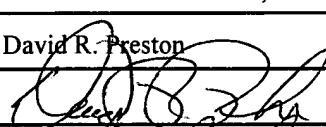
phone: 858.724.0375

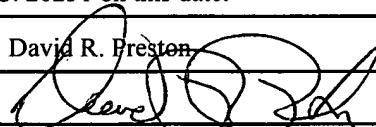
facsimile: 858.724.0384

Seal
A/3

TRANSMITTAL FORM		Application Number:	09/884,579
(to be used for all correspondence after initial filing)		Filing Date:	June 18, 2001
		First Named Inventor:	Singh
		Group Art Unit:	To be Determined
		Examiner:	To be Determined
Total Pages in This Submission:	7	Attorney Docket Number:	IMG-00112.P.1-US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits / Declarations(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Requests	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Inclosures, identified below: NTFMP from USPTO Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts / Incomplete Application	Remarks:	
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	David R. Preston
Signature	
Date	<u>September 7, 2001</u>

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date.	Date: <u>9/7/01</u>
Typed or printed name: David R. Preston	
Signature 	Date: <u>9/7/01</u>

FEE TRANSMITTAL		Complete if Known	
<p>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement; otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p> <p style="text-align: right;"><i>OCT 10 2001 C1 SEP 10 2001 PTO/APPARATUS/ARTS/</i></p>		Application Number:	09/884,579
		Filing Date:	June 8, 2001
		First Named Inventor:	Sujay Singh
		Group / Art Unit:	To be determined
Total Amount of Payment	\$ 105.00	Docket Number:	IMG-00112.P.1-US

METHOD OF PAYMENT	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: <input type="text"/> Deposit Account Name: <input type="text"/> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.	
2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check Number <u>933</u> <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

FEE CALCULATION						
1. BASIC FILING FEE						
	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
	101		201		Utility Filing Fee	\$
	106		206		Design Filing Fee	\$
	107		207		Plant Filing Fee	\$
	108		208		Reissue Filing Fee	\$
	114		214		Provisional Filing Fee	\$
	SUBTOTAL (1)					\$ 0.00

2. EXTRA CLAIM FEES

				Extra Claims		Fee From Below		Fee Paid
Total Claims	[]	-20 **	Equals	[]	Times	[]	Equals	\$ []
Independent Claims	[]	-3 **	Equals	[]	Times	[]	Equals	\$ []
Subtotal (2)								\$ 0.00

** or number previously paid, if greater, For Reissues, see below

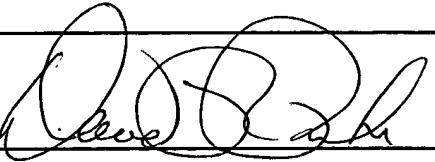
	Large Entity		Small Entity		Fee Description
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103		203	9.00		Claims in excess of 20
102		202			Independent claims in excess of 3
104		204			Multiple dependent claim, if not paid
109		209			** Reissue independent claims over original patent
110		210			** Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105		205		Surcharge - late filing fee or oath	\$
127		227		Surcharge - late provisional filing fee or cover sheet	\$
139		139		Non-English specification	\$
147		147		For filing a request for reexamination	\$
112		112		Requesting publication of SIR prior to Examiner action	\$
113		113		Requesting publication of SIR after Examiner action	\$
115		215		Extension for reply within first month	\$
116		216		Extension for reply within second month	\$
117		217		Extension for reply within third month	\$
118		218		Extension for reply within fourth month	\$
128		228		Extension for reply within fifth month	\$
119		219		Notice of Appeal	\$
120		220		Filing a brief in support of an appeal	\$
121		221		Request for oral hearing	\$
138		138		Petition to institute a public use proceeding	\$
140		240		Petition or revive - unavoidable	\$
141		241		Petition or revive - unintentional	\$
142		242		Utility issue fee (or reissue)	\$
143		243		Design issue fee	\$
144		244		Plant issue fee	\$
122		122		Petitions to the Commissioner	\$
123		123		Petitions related to provisional applications	\$
126		126		Submission of Information Disclosure Statement	\$
581		581	40.00	Recording each patent assignment per property	\$ 40.00
146		246		Filing a submission after final rejection (37 C.F.R. 1.129(a))	\$
149		249		For each additional invention to be examined (37 CFR 1.129(b))	\$
Other fee (specify): Response to Notice to File Missing Parts					\$ 65.00
			Subtotal (3)		\$ 105.00

4. SUMMATION OF FEES

SUBTOTAL (1)	\$ 0.00
SUBTOTAL (2)	\$ 0.00
SUBTOTAL (3)	\$ 105.00
TOTAL FEES	\$ 105.00

SUBMITTED BY		Complete (If Applicable)	
Typed or Printed Name	David R. Preston	Registration Number:	38,710
Signature		Date:	7/7/01
		Deposit Account Number User ID Number	501321



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/884,579	06/18/2001	1642	373	IMG-00112.P.1-US	5	22	1

CONFIRMATION NO. 2573

FILING RECEIPT



'OC000000006415175'

David R. Preston
David R. Preston & Associates
Suite 104
11404 Sorrento Valley Road
San Diego, CA 92121

Date Mailed: 08/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Sujay Singh, San Diego, CA;
Peter Dias, Carlsbad, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/212,456 06/19/2000

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OCT 26 2001

TECH CENTER 1600/2900

Foreign Applications

If Required, Foreign Filing License Granted 08/11/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Transgenic avian species for making human and chimeric antibodies

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AUG 19 2001
B Y: *[Signature]*

#3



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/884,579	06/18/2001	Sujay Singh	IMG-00112.P.1-US



David R. Preston
David R. Preston & Associates
Suite 104
11404 Sorrento Valley Road
San Diego, CA 92121

CONFIRMATION NO. 2573
FORMALITIES LETTER



OC00000006415176

Date Mailed: 08/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

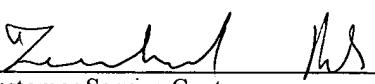
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2001 BABRAHA1 00000044 09884579

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65.00 OP